PERSONAL FI	NANCIAL STATEMENT		FORM PFS COVER SHEET
For filings required in 20	lance with chapter 572 of the Government Code.  213, covering calendar year ending December 31, 2012.  INSTRUCTION GUIDE when completing this form.	ACCOUNT #	e 1 of 65 20990
1 NAME	TITLE, FIRST, MI	OFFICE	USE ONLY
	Sen. Royce	Data Received CE	
	NICKNAME, LAST, SUFFIX West		1 2013 Commission
2 ADDRESS		Liexas etilics	Commission
	320 South R. L. Thornton Freeway	Receipt#	
	Suite 300 Dallas, TX 75203-1804	AC 9 (Wa) OH	Amount
		Legal	
***************************************	(CHECK IF FILER'S HOME ADDRESS)	PROCESSED	JUL 0 1 2013
3 TELEPHONE NUMBER	AREA CODE NUMBER; EXTENSION	Date Imaged	
4 REASON			
FOR FILING STATEMENT	CANDIDATE		(INDICATE OFFICE)
	☑ ELECTED OFFICER Texas Senator, District 23		(INDICATE OFFICE)
	APPOINTED OFFICER		(INDICATE AGENCY)
	☐ EXECUTIVE HEAD		(INDICATE AGENCY)
	$\square$ FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT		
	☐ STATE PARTY CHAIR		(INDICATE PARTY)
	OTHER		(INDICATE POSITION)
	financial activity you are reporting (filer must report information abouldren if the filer had actual control over that activity):	ut the financial activ	ity of the filer's
SPOUSE		· · · · · · · · · · · · · · · · · · ·	
DEPENDENT CHILD 1.			
2.		. which	
3.		Water Manager States Co.	

In parts 1 through 18, you will disclose your financial activity during the calendar year. In parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child if you had actual control over that person's financial activity.

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY



SOURCES OF OCC	<b>UPATIONAL IN</b>	ICOME	PART 1A
☐ NOT APPLICABLE			
When reporting information about providing the number under which	ut a dependent child's act th the child is listed on the	ivity, indicate the child e Cover Sheet.	about whom you are reporting by
1 INFORMATION RELATES TO	☑ FILER	☐ SPOUSE	DEPENDENT CHILD
<sup>2</sup> EMPLOYMENT	NA		MPLOYER / POSITION HELD
	State of Texas	[] (Check it Filer	's Home Address)
	1400 N. Congress Av Room 1E.3 Austin, TX 78701-19		
	State Senator		
SELF-EMPLOYED		NATURE OF	OCCUPATION
INFORMATION RELATES TO	☑ FILER	☐ SPOUSE	DEPENDENT CHILD
EMPLOYMENT	NA		MPLOYER / POSITION HELD
☐ EMPLOYED BY ANOTHER	West & Associates L	<del></del>	's Home Address)
	320 South R. L. Thor Suite 300 Dallas, TX 75203-18	nton Freeway	
	Legal		
		NATURE OF	OCCUPATION
INFORMATION RELATES TO	☑ FILER	SPOUSE	DEPENDENT CHILD
EMPLOYMENT	NA	ME AND ADDRESS OF E.  (Check if File)	MPLOYER / POSITION HELD c's Home Address)
☐ EMPLOYED BY ANOTHER	Royce West & Assoc		,
	320 South R. L. Thor Suite 300 Dallas, TX 75203-18		
SELF-EMPLOYED	,	NATURE OF	OCCUPATION
CC	PY AND ATTACH ADI	DITIONAL PAGES A	S NECESSARY

STOCK					PART Z
☐ NOT APPLICABL	.Е				
and indicate the catego	ory of the numbe It of the net gain o	your spouse, or a depe or of shares held or acqu or loss realized from the	uired. If some or all	of the stock was sold	l, also indicate the
When reporting inform providing the number u	ation about a del	pendent child's activity, child is listed on the Co	ver Sheet.		porting by
<sup>1</sup> BUSINESS ENTITY		ALEXION PHARMS IN	NAI IC	ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	
<sup>3</sup> NUMBER OF SHAR	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	ALTRIA GROUP INC	NAI	ME	
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHILI	D
NUMBER OF SHAR		LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	AMERICAN INTERNA		ME >	
STOCK HELD OR A	ACQUIRED BY	▼ FILER	SPOUSE	DEPENDENT CHILI	D
NUMBER OF SHAF		LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	APPLE INC	NA	AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,9 <del>9</del> 9	\$25,000OR MORE
BUSINESS ENTITY	<u> </u>	ARCHER DANIELS M		AME	
STOCK HELD OR A	4CQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	_D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999 ≣	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
	0071	AND ATTACH ADDITI	ONAL DAGES AS	NECESSARV	

STOCK					PART 2
□ NOT APPLICABI	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	AT&T INC	NA	ME	
2 STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	BARNES & NOBLE IN		ME	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	LESS THAN 100		☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	BEAM INC COM	ŊA	ME	
BUSINESS ENTITY		BEAM INC COM	NA	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES INET GAIN INET LOSS ACQUIRED BY	☐ LESS THAN \$5,000  BIOGEN IDEC INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES INET GAIN INET LOSS ACQUIRED BY	☐ LESS THAN \$5,000  BIOGEN IDEC INC  FILER  LESS THAN \$5000	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BANK OF AMERICA C	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BIOGEN IDEC INC ☐ FILER ☐ LESS THAN \$5,000  BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ LESS THAN \$5,000	□ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  NA □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  CORP □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ \$25,000OR MORE

					PART 2
☐ NOT APPLICAB	LE				
and indicate the cated	ory of the number nt of the net gain	your spouse, or a depe or of shares held or acquor loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
When reporting inforn providing the number	nation about a de under which the	pendent child's activity, child is listed on the Co	indicate the child alver Sheet.	bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	CAPTIAL ONE FINL	NA	ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999		☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	S5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	CELANESE CORP DE		ME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAP	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	CHENIERE ENERGY	N.A	ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAP	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	
		L			\$25,000OR MORE
BUSINESS ENTITY	1	CHUBB CORP	N.F	ME	\$25,000OR MORE
STOCK HELD OR		CHUBB CORP	NA		
AND THE PROPERTY OF THE PROPER	ACQUIRED BY			DEPENDENT CHIL	
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES NET GAIN NET LOSS	☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL 500 TO 999	D 1,000 TO 4,999
STOCK HELD OR AN NUMBER OF SHAIR	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL 500 TO 999  500 TO 999  510,000 - \$24,999	.D ☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ CLEAN HARBORS IN	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   MME  DEPENDENT CHIL  500 TO 999	.D ☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIN IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  CLEAN HARBORS IN ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  C  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   MME  DEPENDENT CHIL  500 TO 999	D  1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICABL	_E				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	CREE INC	NA	ME	
2 STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	CATEPILLAR INC	NA	ME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100	☐ 100 TO 499	∑ 500 TO 999	☐ 1,000 TO 4,999
		5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	10,000 - \$24,999	☐ \$25,000OR MORE
BUSINESS ENTITY	,	D R HORTON INC	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		D R HORTON INC	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	.D
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	☐ SPOUSE  ☑ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL 500 TO 999	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  DISCOVER FINL SVC	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   MME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  S  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999   MME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ D ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  [X] 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  SS ☐ SPOUSE [X] 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ D ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE  [X] 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  SS ☐ SPOUSE [X] 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM	☐ SPOUSE  [X] 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA  SS ☐ SPOUSE [X] 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ DISCOVER FINL SVC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100	☐ SPOUSE	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICABL	-E				
and indicate the categ	ory of the numbe It of the net gain	your spouse, or a deper of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		ELAN CORP PLC ADF		ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>X 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ELI LILLY & CO	NA	ME	,
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		ENERGY XXI LTD NE		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	EOG RESOURCES IN		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000</b> - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	FACEBOOK INC CLA		ME OCK	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999 :	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
	☐ NET LOSS				

				PART Z
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the numb category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information about a deproviding the number under which the			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	FRAC KINDER MORG		ME	
2 STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000</b> - <b>\$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FORD MOTOR CO	NA	ME	
STOCK HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FREEPRT-MCMRAN		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES			☐ 500 TO 999	.D 1,000 TO 4,999
	☐ LESS THAN 100	☐ SPOUSE  ☑ 100 TO 499	☐ 500 TO 999	
NUMBER OF SHARES  IF SOLD    NET GAIN	<ul><li></li></ul>	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ 500 TO 999	1,000 TO 4,999
NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS	<ul><li></li></ul>	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ EMERSON ELEC CO	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	\$10,000 - \$24,999  ME  DEPENDENT CHIL  X 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	□ LESS THAN 100     □ 5,000 TO 9,999     □ LESS THAN \$5,000      EMERSON ELEC CO     □ LESS THAN 100	SPOUSE  100 TO 499 10,000 OR MORE \$5,000 - \$9,999  NA SPOUSE 100 TO 499	\$10,000 - \$24,999  ME  DEPENDENT CHIL  X 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHARES  IF SOLD		☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	\$10,000 - \$24,999  ME  DEPENDENT CHIL  \$500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
NUMBER OF SHARES  IF SOLD	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  EMERSON ELEC CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  \$500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  EMERSON ELEC CO ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  FLUOR CORP NEW	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	\$10,000 - \$24,999  ME  DEPENDENT CHIL  \$500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  \$500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY		☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 100 TO 499 ☐ 10,000 OR MORE	\$10,000 - \$24,999  ME  DEPENDENT CHIL  \$500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  \$500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE

STOCK					PART Z
☐ NOT APPLICABI	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from the	uired. If some or all	of the stock was solo	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		GNC HOLDINGS INC		ME	
2 STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	HALLIBURTON COMF		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	THE INVESTMENT CO		AME CA-A	
BUSINESS ENTITY STOCK HELD OR A		THE INVESTMENT CO			.D
	ACQUIRED BY		OMPANY OF AMERI	CA-A  DEPENDENT CHIL  500 TO 999	.D ☐ 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	OMPANY OF AMERI SPOUSE 100 TO 499	CA-A  DEPENDENT CHIL  500 TO 999	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	OMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	CA-A  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	OMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	CA-A  DEPENDENT CHIL  500 TO 999   310,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 HERTZ GLOBAL HOL	OMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DINGS IN	CĀ-Ā  ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HERTZ GLOBAL HOL ☐ FILER ☐ LESS THAN 100	OMPANY OF AMERI  □ SPOUSE □ 100 TO 499 □ 10,000 OR MORE □ \$5,000 - \$9,999  DINGS IN □ SPOUSE □ 100 TO 499	CĀ-Ā  ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HERTZ GLOBAL HOL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	DMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DINGS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	CĀ-Ā  ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HERTZ GLOBAL HOL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	DMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DINGS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	CĀ-Ā  □ DEPENDENT CHIL □ 500 TO 999  □ \$10,000 - \$24,999   MME □ DEPENDENT CHIL □ 500 TO 999  □ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HERTZ GLOBAL HOL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HOME DEPOT INC	DMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DINGS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	CĀ-Ā  □ DEPENDENT CHIL □ 500 TO 999  □ \$10,000 - \$24,999  AME □ DEPENDENT CHIL □ \$500 TO 999  □ \$10,000 - \$24,999  AME □ DEPENDENT CHIL □ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HERTZ GLOBAL HOL ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  HOME DEPOT INC ☐ FILER ☐ LESS THAN 100	DMPANY OF AMERI  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  DINGS IN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  N/	CĀ-Ā  □ DEPENDENT CHIL □ 500 TO 999  □ \$10,000 - \$24,999  AME □ DEPENDENT CHIL □ 500 TO 999  □ \$10,000 - \$24,999  AME □ DEPENDENT CHIL □ 500 TO 999  □ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICAB	LE				
and indicate the categ	gory of the number nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	<b>,</b>	INGREDION INC SHS		ME	
2 STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	,	INTL PAPER CO	NA	ME	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	KINDER MORGAN MA		ME	
BUSINESS ENTITY STOCK HELD OR A		KINDER MORGAN MA		ME DEPENDENT CHIL	D
	ACQUIRED BY		NAGEMENT LLC	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	ANAGEMENT LLC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	ANAGEMENT LLC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LENNAR CORP CL A	ANAGEMENT LLC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LENNAR CORP CL A ☐ FILER ☐ LESS THAN 100	ANAGEMENT LLC  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LENNAR CORP CL A ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE   100 TO 499   10,000 OR MORE   SFOUSE   NA	DEPENDENT CHIL 500 TO 999 \$10,000 - \$24,999  ME DEPENDENT CHIL 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET GAIN NET LOSS		SPOUSE   100 TO 499   10,000 OR MORE   SFOUSE   NA	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LENNAR CORP CL A ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LORILLARD INC	SPOUSE   100 TO 499   10,000 OR MORE   SFOUSE   100 TO 499   NA   SPOUSE   10,000 OR MORE   10,000 OR MORE   \$5,000 - \$9,999   NA   NA   SFOUSE   NA   SFOUSE   NA   SFOUSE   NA   SFOUSE   NA   SFOUSE   SFOUSE	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LENNAR CORP CL A ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  LORILLARD INC ☐ FILER ☐ LESS THAN 100	SPOUSE   100 TO 499   10,000 OR MORE   SPOUSE   100 TO 499   10,000 OR MORE   SPOUSE   \$5,000 - \$9,999   NA	☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ☐ □ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ \$25,000OR MORE

Texas Ethics Commission

	STOCK					PART 2
	☐ NOT APPLICABL	E				
	and indicate the catego	ory of the number t of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
			pendent child's activity, child is listed on the Co		oout whom you are re	eporting by
1	BUSINESS ENTITY		LYONDELLBASELL IN		ME	
2	STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
3	NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
4	IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY		MICROCHIP TECHNO		ME	
	STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
	NUMBER OF SHAR	ES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
	IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY		MORGAN STANLEY	NA	ME	
	BUSINESS ENTITY STOCK HELD OR A	CQUIRED BY	MORGAN STANLEY	NA SPOUSE	ME DEPENDENT CHIL	D
					DEPENDENT CHIL  500 TO 999	D 1,000 TO 4,999
	STOCK HELD OR A		☑ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL  500 TO 999	
	STOCK HELD OR A NUMBER OF SHAR	ES	☐ LESS THAN 100☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999	1,000 TO 4,999
	STOCK HELD OR A NUMBER OF SHAR	ES  ☐ NET GAIN ☐ NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☑ 500 TO 999  ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ NORDSTROM INC☐	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL  ☐ 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL  ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	NET GAIN NET LOSS  CQUIRED BY ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL  ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME  ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NOVO NORDISK A S	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  ADR	□ DEPENDENT CHIL         ☒ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NORDSTROM INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  NOVO NORDISK A S ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  ADR ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	□ DEPENDENT CHIL         ☒ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ \$25,000OR MORE

Texas Ethics Commission

STOCK					PART 2
☐ NOT APPLICABL	E				
List each business enti and indicate the categor category of the amoun INSTRUCTION GUIDE	ory of the number t of the net gain	r of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information providing the number to		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		OCWEN FINL CORP (		ME	
2 STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		OMNICARE INC	NA NA	AME	and a selection of the
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PERRIGO CO	NA	AME	
BUSINESS ENTITY STOCK HELD OR A		PERRIGO CO	NA SPOUSE	ME  DEPENDENT CHIL	.D
	CQUIRED BY			DEPENDENT CHIL	.D ☐ 1,000 TO 4,999
STOCK HELD OR A	CQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	CQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL 500 TO 999	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	CQUIRED BY RES NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PFIZER INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PFIZER INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ D ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	X   FILER   X   LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     PFIZER INC   X   FILER   LESS THAN 100   5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ D ☐ 1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS ACQUIRED BY RES NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PFIZER INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY  RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PFIZER INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  PHILIP MORRIS INTL	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY  RES  NET GAIN NET LOSS	□ LESS THAN 100     □ 5,000 TO 9,999     □ LESS THAN \$5,000  PFIZER INC     □ LESS THAN 100     □ 5,000 TO 9,999     □ LESS THAN \$5,000  PHILIP MORRIS INTL     □ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  INC ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

Texas Ethics Commission	P.O. Box 120	70 Austin, Texas 7	8711-2070	(512)463-58	00 1-800-32	2 <b>5</b> -8 <b>5</b> 06
STOCK					PART	2
☐ NOT APPLICABLE	Ē					
List each business entity and indicate the categor category of the amount INSTRUCTION GUIDE.  When reporting informat providing the number ur	y of the numbe of the net gain of tion about a dep	r of shares held or acq or loss realized from th pendent child's activity	uired. If some or a le sale. For more in , indicate the child a	ll of the stock was sold, a stormation, see FORM P	also indicate the PFS	
<sup>1</sup> BUSINESS ENTITY		PROTHENA CORP PL		AME		
2 STOCK HELD OR AC	QUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD		
<sup>3</sup> NUMBER OF SHARE	S	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999 [	1,000 TO 4,999	
4 IF SOLD	NET GAIN	☐ LESS THAN \$5.000	☐ \$5.000 - \$9.999	П \$10.000 - \$24.999 Г	☐ \$25.000OR MO	DRE

2 STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PLUM CREEK TIMBEI		ME	
STOCK HELD OR A	CQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>☒ 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		TEXAS INSTRUMENT		ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PVH CORP	AN	ME	
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		QUESTAR CORP NP\		ME	- Politica de la companya della companya della companya de la companya della comp
STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	X 100 TO 499  ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	COPY A	ND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	

STOCK				PART 2	
☐ NOT APPLICABLE					
List each business entity in which you, and indicate the category of the number category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the	-
When reporting information about a de providing the number under which the			oout whom you are re	eporting by	
<sup>1</sup> BUSINESS ENTITY	REGENERON PHARM	NA NACTCLS	ME		
2 STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
<sup>3</sup> NUMBER OF SHARES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	-
BUSINESS ENTITY	ROBERTHALF INTL IN	NC COM	ME		=
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D	_
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	ROPER INDUSTRIES	INC COM	ME		•
STOCK HELD OR ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D	_
NUMBER OF SHARES	□ LESS THAN 100     □ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	_
BUSINESS ENTITY	SLM CORP	NA	ME		
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	
BUSINESS ENTITY	SOUTHWEST AIRLIN		ME		
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D	_
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999	
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE	-
COPY A	ND ATTACH ADDITION	ONAL PAGES AS	NECESSARY	-	

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				PART 2
□ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the number category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information about a de providing the number under which the			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	TRANSDIGM GROUP		ME	
2 STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	TW TELECOM INC	NA	ME	
STOCK HELD OR ACQUIRED BY	▼ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
			<b>-</b>	
BUSINESS ENTITY	ULTA SALON COSME		ME 	
STOCK HELD OR ACQUIRED BY	ULTA SALON COSME		DEPENDENT CHIL	D
		TICS & FRAGRAN	DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	<ul><li>☒ FILER</li><li>☒ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED STS STL CO	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED STS STL COI ☐ FILER ☐ LESS THAN 100	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  RP NEW  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED STS STL COD ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  RP NEW  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED STS STL COI ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  RP NEW  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ \$1,000 TO 4,999 □ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ UNITED STS STL COI ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  VALERO ENERGY CO	TICS & FRAGRAN  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  RP NEW  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NA  NA  NA  NA  NA  NA  NA  NA	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ \$1,000 TO 4,999 □ \$25,000OR MORE

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Texas Ethics Commission

P.O. Box 12070

,	STOCK					PART 2
	☐ NOT APPLICABL	E				
	and indicate the catego	ory of the number t of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
			pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
1	BUSINESS ENTITY		WAL-MART STORES		ME	
2	STOCK HELD OR A	CQUIRED BY	☐ FILER	SPOUSE	DEPENDENT CHIL	D
3	NUMBER OF SHARI	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
4	IF SOLD	☐ NET GAIN☐ NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY		WELLS FARGO & CO		ME	
	STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
	NUMBER OF SHAR	ES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
	IF SOLD	☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY		WEYERHAEUSER CO		ME	
	BUSINESS ENTITY STOCK HELD OR A	CQUIRED BY	WEYERHAEUSER CO		ME DEPENDENT CHIL	D
		7		) 	DEPENDENT CHIL	D 1,000 TO 4,999
	STOCK HELD OR A	7	☐ LESS THAN 100	SPOUSE    100 TO 499	DEPENDENT CHIL	
	STOCK HELD OR A	ES	☐ LESS THAN 100☐ 5,000 TO 9,999	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
	STOCK HELD OR A NUMBER OF SHAR	ES  ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHARI IF SOLD BUSINESS ENTITY	NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100☐ 5,000 TO 9,999☐ LESS THAN \$5,000☐ WHIRLPOOL CORP	SPOUSE  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHARI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WHIRLPOOL CORP ☐ FILER ☐ LESS THAN 100	SPOUSE    SPOUSE     100 TO 499     10,000 OR MORE     \$5,000 - \$9,999     NA	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHARI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHARI	NET GAIN NET LOSS  CQUIRED BY ES		SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
	STOCK HELD OR A NUMBER OF SHARI IF SOLD BUSINESS ENTITY STOCK HELD OR A NUMBER OF SHARI IF SOLD	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WHIRLPOOL CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHARI IF SOLD BUSINESS ENTITY STOCK HELD OR A NUMBER OF SHARI IF SOLD BUSINESS ENTITY	D NET GAIN D NET LOSS  CQUIRED BY ES D NET GAIN D NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WHIRLPOOL CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WHOLE FOODS MKT	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999  INC COM	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
	STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	D NET GAIN D NET LOSS  CQUIRED BY ES D NET GAIN D NET LOSS  CQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WHIRLPOOL CORP ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  WHOLE FOODS MKT ☐ FILER ☐ LESS THAN 100	SPOUSE    100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ 1,000 TO 4,999

1-800-325-8506

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
h				

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the number category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	l, also indicate the
When reporting information about a de providing the number under which the			oout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	WINDSTREAM CORP	NA	ME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	WYNDHAM WORLDW		ME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	□ LESS THAN 100     □ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ADT CORP SHS	NA	ME	
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	ADT CORP SHS	NA SPOUSE	ME DEPENDENT CHIL	D
			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL	
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD   NET GAIN	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD  NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  ALBEMARLE CORP C	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ALBEMARLE CORP C☐ ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  OM  SPOUSE  100 TO 499	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ALBEMARLE CORP C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA  SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHILL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ALBEMARLE CORP C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA  SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ALBEMARLE CORP C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ALLEGHENY TECH IN	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  COM ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  IC	☐ DEPENDENT CHILL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999
STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY  NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	<ul> <li>☑ FILER</li> <li>☑ LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☑ LESS THAN \$5,000</li> <li>ALBEMARLE CORP O</li> <li>☑ FILER</li> <li>☑ LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☑ LESS THAN \$5,000</li> <li>ALLEGHENY TECH IN</li> <li>☑ FILER</li> <li>☑ LESS THAN 100</li> </ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  COM ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA IC ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHILL ☐ 500 TO 999  ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the cate	gory of the numbe int of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	I of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	Y	AMERICAN INTERNA		AME C	
2 STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAI	RES	LESS THAN 100	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	APPLE INC	NA	AME	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	RES	LESS THAN 100 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	<b>□ 500</b> TO 999	X 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	X \$25,000OR MORE
BUSINESS ENTITY	Y	AVALONBAY CMMUN		AME	
BUSINESS ENTITY STOCK HELD OR		AVALONBAY CMMUN		AME  DEPENDENT CHIL	.D
	ACQUIRED BY		I INC	DEPENDENT CHIL	_D ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAI IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  BANK OF AMERICA C	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES NET GAIN NET LOSS Y ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499  X10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499  X10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  =	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BIOGEN IDEC INC	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  CORP  SPOUSE  100 TO 499  X10,000 OR MORE  \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  =	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR STOCK HE	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BANK OF AMERICA C ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BIOGEN IDEC INC ☐ FILER ☐ LESS THAN 100	SPOUSE    100 TO 499   10,000 OR MORE   \$5,000 - \$9,999    SPOUSE   100 TO 499   10,000 OR MORE   \$5,000 - \$9,999    NA	☐ DEPENDENT CHIL ☐ 500 TO 999  =	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999

STOCK					PARI Z
☐ NOT APPLICAB	3LE				
and indicate the cated	gory of the numbe int of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co	over Sheet.		eporting by
<sup>1</sup> BUSINESS ENTITY	Y	BRISTOL-MYERS SQI		ME	
2 STOCK HELD OR	ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHAP	RES	LESS THAN 100		☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	CAPITOL ONE FINL	NA	ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAI	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	☐ NET GAIN ☑ NET LOSS	X LESS THAN \$5,000	<b>55,000</b> - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTIT	Y	CF INDS HLDGS INC		AME	
BUSINESS ENTITY		CF INDS HLDGS INC		AME  DEPENDENT CHIL	_D
	ACQUIRED BY			DEPENDENT CHIL	_D 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES NET GAIN NET LOSS	☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHAI IF SOLD	ACQUIRED BY RES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ CHENIERE ENERGY ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  ☐ CHENIERE ENERGY ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999  500 TO 999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999  STATE ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAI  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CROWN HLDGS INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIN STOCK HELD OR NUMBER OF SHAIN IF SOLD  BUSINESS ENTITY  STOCK HELD OR SHAIN STOCK HELD OR SHAIN SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CHENIERE ENERGY ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ CROWN HLDGS INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICABI	_E				
and indicate the categ	ory of the numbe at of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	D R HORTON INC	NA	ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	RES	LESS THAN 100		☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		DISCOVER FINL SVC		ME	
STOCK HELD OR A	CQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	RES	X LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		☐ 5,000 TO 9,999	10,000 OR MORE		
IF SOLD	NET GAIN     NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>10,000 - \$24,999</b>	☐ \$25,000OR MORE
BUSINESS ENTITY		DOW CHEMICAL CO	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		DOW CHEMICAL CO	NA SPOUSE	ME DEPENDENT CHIL	D
i letteretti varitti ootta	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 : ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EBAY INC COM	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY  RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EBAY INC COM ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EQUITY RESIDENTIA	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ BAY INC COM ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ EQUITY RESIDENTIA ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  L ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  SME ☐ \$10,000 - \$24,999  SME ☐ DEPENDENT CHIL ☐ 500 TO 999  SME ☐ DEPENDENT CHIL ☐ 500 TO 999  SME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE

STOCK				PARI Z
☐ NOT APPLICABLE				
List each business entity in which you and indicate the category of the num category of the amount of the net gai INSTRUCTION GUIDE.	per of shares held or acq	uired. If some or al	I of the stock was sold	d, also indicate the
When reporting information about a comproviding the number under which the			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	EXXON MOBIL CORF		AME	
2 STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	FANNIE MAE (USA) (		AME	
STOCK HELD OR ACQUIRED BY	/ X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☒ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	<b>X</b> \$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	FREDDIE MAC	N/	AME	
BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY		N/	AME  DEPENDENT CHIL	.D
- Branchester (1977)			DEPENDENT CHIL	 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	/ 🗵 FILER	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN	/ X FILER  LESS THAN 100  5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000 GLOBAL PMTS INC C	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	LESS THAN 100 5,000 TO 9,999 LESS THAN \$5,000 GLOBAL PMTS INC C	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  GLOBAL PMTS INC C  X FILER  LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  SEORGIA  SPOUSE  100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN	GLOBAL PMTS INC C    X FILER    LESS THAN 100   5,000 TO 9,999    CLESS THAN \$5,000    GLOBAL PMTS INC C   X FILER   X LESS THAN 100   5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  SEORGIA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHILE ☐ 500 TO 999  E  X \$10,000 - \$24,999  AME ☐ DEPENDENT CHILE ☐ 500 TO 999  E	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  GLOBAL PMTS INC C  X FILER  X LESS THAN 100  5,000 TO 9,999  X LESS THAN \$5,000  GOLDCORP INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  SEORGIA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHILE ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY	LESS THAN 100  5,000 TO 9,999  LESS THAN \$5,000  GLOBAL PMTS INC C  X FILER  X LESS THAN 100  5,000 TO 9,999  X LESS THAN \$5,000  GOLDCORP INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  SEORGIA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	□ DEPENDENT CHIL         □ 500 TO 999         Ξ         □ \$10,000 - \$24,999         AME         □ DEPENDENT CHIL         □ \$10,000 - \$24,999         AME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY NUMBER OF SHARES  IF SOLD NET GAIN NET LOSS  BUSINESS ENTITY  STOCK HELD OR ACQUIRED BY	LESS THAN 100   5,000 TO 9,999   LESS THAN 100   GLOBAL PMTS INC C   X FILER   LESS THAN \$5,000   GOLDCORP INC   X FILER   LESS THAN \$5,000   GOLDCORP INC   X FILER   LESS THAN 100   LESS THAN 100   COLDCORP INC   LESS THAN 100   COLDCORP INC   C X FILER   LESS THAN 100   C X FILER   C C X FIL	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  GEORGIA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  N. ☐ SPOUSE ☐ 100 TO 499	□ DEPENDENT CHIL         □ 500 TO 999         Ξ         □ \$10,000 - \$24,999         AME         □ DEPENDENT CHIL         □ \$10,000 - \$24,999         AME         □ DEPENDENT CHIL         □ DEPENDENT CHIL         □ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICAB	LE				
and indicate the categ	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	/ /	HASBRO INC COM	NA	AME	
2 STOCK HELD OR A	ACQUIRED BY		SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>X 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	<b>/</b>	HERTZ GLOBAL HOL		AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ſ	HOME DEPOT CO	ŊA	AME	
BUSINESS ENTITY STOCK HELD OR		HOME DEPOT CO	NA SPOUSE	ME DEPENDENT CHIL	.D
	ACQUIRED BY			DEPENDENT CHIL	_D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ INTL PAPER CO	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR AN NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY		SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  MME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER   ☐ LESS THAN 100   ☐ 5,000 TO 9,999   ☐ LESS THAN \$5,000   ☐ FILER   ☐ LESS THAN 100   ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  5 ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  5	1,000 TO 4,999  \$25,000OR MORE  D 1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	X FILER   LESS THAN 100   5,000 TO 9,999   X LESS THAN \$5,000   INTL PAPER CO   X FILER   LESS THAN 100   5,000 TO 9,999   X LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAPE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAPE  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ( ACQUIRED BY	ILESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     INTL PAPER CO   FILER   LESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000     ISRAEL CHEMICALS-	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF SHAFE  IF SOLD  BUSINESS ENTITY  STOCK HELD OR ANUMBER OF ANUMBER OF SHAFE  STOCK HELD OR ANUMBER OF ANUMBER OF ANUMBER OF SHAFE	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ( ACQUIRED BY	ILES THAN 100   5,000 TO 9,999   LESS THAN \$5,000   INTL PAPER CO   INTL PAPER CO   ILESS THAN 100   5,000 TO 9,999   LESS THAN \$5,000   ISRAEL CHEMICALS-   ILESS THAN 100   ILESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

STOCK				PARI Z
☐ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the number category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information about a de providing the number under which the			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	ITAU UNIBANCO BAN		ME ISORED ADR	
2 STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 <b>-</b> \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ITC HLDGS CORP	N.A	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD   ☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	J M SMUCKER CO	NA	AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	JUNIPER NETWORKS		AME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY	KEYCORP NEW COM		AME	
STOCK HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
COPY A	ND ATTACH ADDITI	ONAL PAGES AS	NECESSARY	

STOCK					PART 2
☐ NOT APPLICABI	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe or of shares held or acquer or loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	KIRBY CORP COM	NA	ME	
2 STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	X NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	LENNAR CORP CL A	NA	AME	
STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>D 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN  ☐ NET LOSS		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	,	LORILLARD INC	NA	AME	1810-341-000-000-000-000-000-000-000-000-000-0
BUSINESS ENTITY STOCK HELD OR A		LORILLARD INC	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL 500 TO 999	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NDUSTRIE  SPOUSE  100 TO 499	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA  NDUSTRIE ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA  NDUSTRIE ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  MARSH & MCLENNAM	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NAME OF THE O	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	□ 1,000 TO 4,999 □ \$25,000OR MORE □ 1,000 TO 4,999 □ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY ACQUIRED BY	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  LYONDELLBASELL IN ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  MARSH & MCLENNAN ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NAME OF THE O	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  D ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE

Texas Ethics Commission

P.O. Box 12070

STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the number category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	d, also indicate the
When reporting information about a de providing the number under which the			bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	MCDONALDS CORP		ME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
4 IF SOLD NET GAIN NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	METHANEX CORP CO		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	1,000 TO 4,999
	5,000 TO 9,999	☐ 10,000 OR MORE		·
IF SOLD ☐ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MICROCHIP TECHNO		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ⊠ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	MONSTER BEVERAG		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ⊠ NET GAIN ☐ NET LOSS		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	NAT FUEL GAS CO N		ME	
0T00// USI D 0D 400/ UDED DV				
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	500 TO 999	1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the cated	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	(	NIKE INC CL B	NA NA	ME	
2 STOCK HELD OR A	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAP	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	NORDSTROM INC	NA	ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 то 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	(	NUANCE COMMUNIC		ME	
STOCK HELD OR A	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAP	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	□ 500 тО 999	□ 1,000 тО 4,999
IF SOLD	☐ NET GAIN  ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	☐ \$25,000OR MORE
BUSINESS ENTITY	1	ONEOK INC (OKLAHO		ME	
STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHAF	RES	☑ LESS THAN 100	☐ 100 TO 499	☐ 500 TO 999	☐ 1,000 TO 4,999
		5,000 TO 9,999	☐ 10,000 OR MORE		
IF SOLD	NET GAIN ☐ NET LOSS	5,000 TO 9,999			\$25,000OR MORE
IF SOLD  BUSINESS ENTITY	☐ NET LOSS		\$5,000 - \$9,999		\$25,000OR MORE
	NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	
BUSINESS ENTITY	NET LOSS  Y  ACQUIRED BY	IX LESS THAN \$5,000  ORACLE CORP \$0.01	\$5,000 - \$9,999  NA  DEL	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	
BUSINESS ENTITY STOCK HELD OR A	NET LOSS  Y  ACQUIRED BY	ORACLE CORP \$0.01	DEL NA DEL SPOUSE  100 TO 499	\$10,000 - \$24,999  ME  DEPENDENT CHIL  500 TO 999	.D

STOCK					PART 2
☐ NOT APPLICABL	.E				
and indicate the catego	ory of the numbe t of the net gain	your spouse, or a depe or of shares held or acquer or loss realized from the	uired. If some or all	of the stock was sold	l, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY		OWENS CORNING IN	C NA	ME	
2 STOCK HELD OR A	CQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAR	ES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		PENTAIR LTD	AA	ME	
STOCK HELD OR A	CQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAR	ES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	\$25,000OR MORE
BUSINESS ENTITY		PERRIGO CO	NA	ME	
BUSINESS ENTITY STOCK HELD OR A	CQUIRED BY	PERRIGO CO	NA SPOUSE	ME DEPENDENT CHIL	D
***************************************				DEPENDENT CHIL	D 1,000 TO 4,999
STOCK HELD OR A		☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A NUMBER OF SHAR	ES	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR IF SOLD	ES  NET GAIN NET LOSS	<ul> <li>☒ FILER</li> <li>☒ LESS THAN 100</li> <li>☐ 5,000 TO 9,999</li> <li>☒ LESS THAN \$5,000</li> </ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR IF SOLD BUSINESS ENTITY	NET GAIN NET LOSS  CQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  CQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS INCOME ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA C ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ PHARMACYCLICS INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA C ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD	NET GAIN  NET LOSS  CQUIRED BY  ES  NET GAIN  NET GAIN  NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li></li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA C ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li></li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA C ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  CPN US TREASIND	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ DEPENDENT CHIL ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	NET GAIN NET LOSS  CQUIRED BY ES NET GAIN NET LOSS	<ul> <li>X FILER</li> <li>X LESS THAN 100</li> <li></li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA C ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  CPN US TREASIND ☐ SPOUSE ☐ 100 TO 499 ☐ 100 TO 499	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ☐ \$10,000 - \$24,999 ☐ DEPENDENT CHIL ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ □ 1,000 TO 4,999

STOCK					PART Z
☐ NOT APPLICAB	LE				
and indicate the cated	gory of the numbe nt of the net gain	your spouse, or a depe or of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	(	PIMCO 25+ YEAR ZEI		ME	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAP	RES		☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  X NET LOSS	⊠ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	1	PROSHARES TR PRO	* **	ME IORT S&P NEW	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAI	RES	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	PROSHARES ULTRA		ME PE ETF	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAI	RES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	PROSHARES ULTRA		ME 000	
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAI	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li> 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN  ☑ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTIT	Y	PROSHARES ULTRA		AME	
STOCK HELD OR	ACQUIRED BY	▼ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHA	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN	<b>F</b> 7			
	X NET LOSS	X LESS THAN \$5,000	<b>□</b> \$5,000 - \$9,999	<u>\$10,000 - \$24,999</u>	\$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICAB	BLE				
and indicate the cated	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from the	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	Y	PROSHARES ULTRAS		ME	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  ☑ NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,0 <b>00</b> - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	PROSHARES ULTRA		ME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHAR	RES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	∑ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	Y	PVH CORP	NA	AME	
BUSINESS ENTITY		PVH CORP	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY			DEPENDENT CHIL	.D ☐ 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES    NET GAIN   NET LOSS	☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR ANUMBER OF SHAI	ACQUIRED BY RES  NET GAIN NET LOSS	<ul><li>☐ FILER</li><li>☐ LESS THAN 100</li><li>☐ 5,000 TO 9,999</li><li>☐ LESS THAN \$5,000</li></ul>	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAI	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  RED HAT INC	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIN IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ RED HAT INC ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR	ACQUIRED BY RES  NET GAIN NET LOSS Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ FILER  ☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  RED HAT INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999  5	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ RED HAT INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ RED HAT INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ROBERTHALF INTL II	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NC COM	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE
STOCK HELD OR NUMBER OF SHAIR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHAIR  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY ACQUIRED BY	☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ RED HAT INC ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ ROBERTHALF INTL II ☐ FILER ☐ LESS THAN 100	☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NC COM ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE	☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999  E ☐ \$10,000 - \$24,999  AME ☐ DEPENDENT CHIL ☐ 500 TO 999	☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999  ☐ \$25,000OR MORE  ☐ 1,000 TO 4,999

exas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506
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STOCK					PART 2
☐ NOT APPLICAB	LE				
and indicate the categ	ory of the numbent of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indicate the
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by
<sup>1</sup> BUSINESS ENTITY	,	ROYAL CARIBBEAN (		ME	
2 STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
<sup>3</sup> NUMBER OF SHAF	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	′	SALESFORCE COM II		ME	
STOCK HELD OR A	ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHAF	RES	∑ LESS THAN 100     ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 <b>-</b> \$24,999	\$25,000OR MORE
BUSINESS ENTITY	•	SLM CORP	NA	ME	
BUSINESS ENTITY STOCK HELD OR A		SLM CORP	NA SPOUSE	ME DEPENDENT CHIL	D
	ACQUIRED BY		***************************************		D 1,000 TO 4,999
STOCK HELD OR A	ACQUIRED BY	☐ LESS THAN 100	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE	DEPENDENT CHIL	
STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	DEPENDENT CHIL	1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999☐ ☐ LESS THAN \$5,000☐ SM ENERGY CO SHS	☐ SPOUSE  ☑ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHIL ☐ 500 TO 999 ☐ \$10,000 - \$24,999 ME	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILL	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET GAIN NET GAIN	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SMITHFILDS FOODS	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  PV\$0.50	☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME ☐ DEPENDENT CHILL ☐ 500 TO 999 ☐ \$10,000 - \$24,999  ME	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A NUMBER OF SHAF  IF SOLD  BUSINESS ENTITY  STOCK HELD OR A	ACQUIRED BY RES  NET GAIN NET LOSS  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SM ENERGY CO SHS ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ SMITHFILDS FOODS ☐ FILER ☐ LESS THAN 100	☐ SPOUSE  ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  NA ☐ SPOUSE ☐ 100 TO 499 ☐ 10,000 OR MORE ☐ \$5,000 - \$9,999  PV\$0.50 ☐ SPOUSE ☐ 100 TO 499	□ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ 500 TO 999         □ \$10,000 - \$24,999         ME         □ DEPENDENT CHIL         □ DEPENDENT CHIL	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  1,000 TO 4,999  \$25,000OR MORE

STOCK					PART 2
☐ NOT APPLICAE	3LE				
and indicate the cate	gory of the number ant of the net gain	your spouse, or a depe er of shares held or acq or loss realized fro <b>m</b> th	uired. If some or all	of the stock was sold	d, also indicate the
		ependent child's activity, child is listed on the Co	over Sheet.		eporting by
1 BUSINESS ENTITY	Υ	SPDR GOLD TRUST	NA	AME	
2 STOCK HELD OR	ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
<sup>3</sup> NUMBER OF SHA	RES	<ul><li>X LESS THAN 100</li><li>☐ 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
<sup>4</sup> IF SOLD	☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTIT	Υ	STANLEY BLACK & D		AME	
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	.D
NUMBER OF SHA	RES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD	☐ NET GAIN ☑ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTIT	Υ	TEXAS ST TPK AT CE		AME AMBAC PRF12	
BUSINESS ENTIT		TEXAS ST TPK AT CE			_D
	ACQUIRED BY		ENT TEX REV A OID	AMBAC PRF12  DEPENDENT CHIL  500 TO 999	 1,000 TO 4,999
STOCK HELD OR	ACQUIRED BY	☐ LESS THAN 100	SPOUSE 100 TO 499	AMBAC PRF12  DEPENDENT CHIL  500 TO 999	
STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100☐ 5,000 TO 9,999	ENT TEX REV A OID  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  310,000 - \$24,999	1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	ENT TEX REV A OID  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  310,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  THE MOSAIC COMPA	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  THE MOSAIC COMPA ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NY COMMON SHAF  SPOUSE  100 TO 499	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000 ☐ THE MOSAIC COMPA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NY COMMON SHAF  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999	☐ 1,000 TO 4,999 ☐ \$25,000OR MORE ☐ ☐ 1,000 TO 4,999
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD	ACQUIRED BY RES  NET GAIN NET LOSS  Y  ACQUIRED BY RES  NET GAIN NET LOSS	☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  THE MOSAIC COMPA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NY COMMON SHAF  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999	1,000 TO 4,999  \$25,000OR MORE  D  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY	ACQUIRED BY RES    NET GAIN   NET LOSS   NET GAIN   NET LOSS   NET GAIN   NET LOSS   NET GAIN   NET LOSS   ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  THE MOSAIC COMPA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TIFFANY & CO NEW	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA NY COMMON SHAF  SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE
STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR NUMBER OF SHA  IF SOLD  BUSINESS ENTITY  STOCK HELD OR	ACQUIRED BY RES    NET GAIN   NET LOSS   NET GAIN   NET LOSS   NET GAIN   NET LOSS   NET GAIN   NET LOSS   ACQUIRED BY	☐ LESS THAN 100 ☐ 5,000 TO 9,999  ☐ LESS THAN \$5,000  THE MOSAIC COMPA ☐ FILER ☐ LESS THAN 100 ☐ 5,000 TO 9,999 ☐ LESS THAN \$5,000  TIFFANY & CO NEW ☐ FILER ☐ LESS THAN 100	SPOUSE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  NO TO 499  10,000 OR MORE  100 TO 499  10,000 OR MORE  \$5,000 - \$9,999  NA  SPOUSE  SPOUSE  100 TO 499  10,000 OR MORE  100 TO 499  100 TO 499	AMBAC PRF12  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME RES  DEPENDENT CHIL  500 TO 999  \$10,000 - \$24,999  AME  DEPENDENT CHIL  500 TO 999	1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999  \$25,000OR MORE  1,000 TO 4,999

1-800-325-8506 P.O. Box 12070 Austin, Texas 78711-2070 (512)463-5800 Texas Ethics Commission PART 2 STOCK ■ NOT APPLICABLE List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME <sup>1</sup> BUSINESS ENTITY TRANSDIGM GROUP INC X FILER SPOUSE □ DEPENDENT CHILD 2 STOCK HELD OR ACQUIRED BY 3 NUMBER OF SHARES X LESS THAN 100 ☐ 100 TO 499 1,000 TO 4,999 ☐ 500 TO 999 5,000 TO 9,999 10,000 OR MORE X NET GAIN 4 IF SOLD X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE ☐ NET LOSS **BUSINESS ENTITY** TYCO INTL LTD NAMEN-AKT X FILER ☐ SPOUSE ■ DEPENDENT CHILD STOCK HELD OR ACQUIRED BY NUMBER OF SHARES 1,000 TO 4,999 LESS THAN 100 X 100 TO 499 500 TO 999 10,000 OR MORE 5.000 TO 9,999 X NET GAIN IF SOLD ☐ NET LOSS NAME **BUSINESS ENTITY ULTA SALON COSMETICS & FRAGRAN** DEPENDENT CHILD STOCK HELD OR ACQUIRED BY X FILER SPOUSE NUMBER OF SHARES X LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 ☐ 10,000 OR MORE X NET GAIN IF SOLD X LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE ☐ NET LOSS NAME **BUSINESS ENTITY** UNITED CONTRL HLDGS INC X FILER ☐ SPOUSE DEPENDENT CHILD STOCK HELD OR ACQUIRED BY NUMBER OF SHARES LESS THAN 100 X 100 TO 499 500 TO 999 1,000 TO 4,999 5,000 TO 9,999 ☐ 10,000 OR MORE ☐ NET GAIN IF SOLD X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE X NET LOSS NAME **BUSINESS ENTITY** VEECO INSTRUMENTS INC X FILER ☐ SPOUSE ■ DEPENDENT CHILD STOCK HELD OR ACQUIRED BY NUMBER OF SHARES X LESS THAN 100 ☐ 100 TO 499 500 TO 999 1,000 TO 4,999

5,000 TO 9,999

X LESS THAN \$5,000

☐ NET GAIN

X NET LOSS

IF SOLD

☐ 10,000 OR MORE

**55,000 - \$9,999** 

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\$10,000 - \$24,999 \$25,000--OR MORE

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STOCK						PART	2
☐ NOT APPLICAB	BLE						
and indicate the cate	gory of the numbe nt of the net gain	your spouse, or a depe er of shares held or acq or loss realized from th	uired. If some or all	of the stock was sold	d, also indid		
		pendent child's activity, child is listed on the Co		bout whom you are re	eporting by		
<sup>1</sup> BUSINESS ENTITY	<b>1</b>	VERIFONE SYSTEMS		ME			
2 STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	D		
<sup>3</sup> NUMBER OF SHAI	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	□ 500 TO 999	☐ 1,000 T	O 4,999	
4 IF SOLD	☐ NET GAIN  ☑ NET LOSS	☑ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000	)OR MC	RE
BUSINESS ENTITY	<u> </u>	VERIZON COMMUNIC		ME			
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHAI	RES	LESS THAN 100	<ul><li>■ 100 TO 499</li><li>■ 10,000 OR MORE</li></ul>	□ 500 TO 999	☐ 1,000 T	O 4,999	
IF SOLD	☐ NET GAIN  ☑ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	)OR MC	RE
BUSINESS ENTITY	<b>Y</b>	VIVUS INC DEL PV\$0		ME	*		
STOCK HELD OR	ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHAI	RES	LESS THAN 100	<ul><li>X 100 TO 499</li><li>☐ 10,000 OR MORE</li></ul>	☐ 500 TO 999	1,000 T	O 4,999	
IF SOLD	☐ NET GAIN  X NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000	)OR MC	RE
BUSINESS ENTITY	<b>Y</b>	WAL-MART STORES		ME			
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHAI	RES	☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	X 1,000 T	O 4,999	
IF SOLD	X NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000	)OR MC	DRE
BUSINESS ENTITY	<b>Y</b>	WEIGHT WATCHERS		ME			
STOCK HELD OR	ACQUIRED BY		SPOUSE	DEPENDENT CHIL	.D		
NUMBER OF SHAI	RES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 T	O 4,999	
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	CORYA	ND ATTACH ADDITION	ONAL PAGES AS	NECESSARV			

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STOCK				PART 2
☐ NOT APPLICABLE				
List each business entity in which you, and indicate the category of the numbe category of the amount of the net gain INSTRUCTION GUIDE.	er of shares held or acq	uired. If some or all	of the stock was sold	I, also indicate the
When reporting information about a deproviding the number under which the		ver Sheet.		porting by
1 BUSINESS ENTITY	WELLS FARGO & CO		ME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
<sup>3</sup> NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	X 500 TO 999	☐ 1,000 TO 4,999
4 IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	WHIRLPOOL CORP	NA	ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100  ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD ☐ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	WYNDHAM WORLDW		ME	
STOCK HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHIL	D
NUMBER OF SHARES	<ul><li>X LESS THAN 100</li><li>D 5,000 TO 9,999</li></ul>	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 TO 4,999
IF SOLD   ☑ NET GAIN ☐ NET LOSS	☑ LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	THE INVESTMENT CO		ME CA	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999
IF SOLD ⊠ NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	FIA CARD SERVICE N		ME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
NUMBER OF SHARES	LESS THAN 100	☐ 100 TO 499 ☐ 10,000 OR MORE	□ 500 TO 999	X 1,000 TO 4,999
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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STOCK						PART	2
□ NOT APPLICABLE							
List each business entity in and indicate the category of the amount of t INSTRUCTION GUIDE.  When reporting information providing the number under	of the number the net gain an about a de	or of shares held or according to the control of th	uired. If some or al le sale. For more in , indicate the child a	I of the stock was sol formation, see FORM	d, also indic 1 PFS		
1 BUSINESS ENTITY		REACH MEDIA, INC.			<del></del>		
2 STOCK HELD OR ACQUIRED BY		☑ FILER	SPOUSE	DEPENDENT CHIL	_D		
<sup>3</sup> NUMBER OF SHARES		☐ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☑ 10,000 OR MORE	☐ 500 TO 999	☐ 1,000 T	O 4,999	
0000	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	<b>X</b> \$25,000	OR MO	RE

BONDS, NOTES & OTHER COMMERCIAL PAPER PART							
☐ NOT APPLICABLE							
	he category of the amount of		pouse, or a dependent child during the realized from the sale. For more				
When reporting information about providing the number under which	· · · · · · · · · · · · · · · · · · ·		bout whom you are reporting by				
<sup>1</sup> DESCRIPTION OF INSTRUMENT	CALIFORNIA ST VAR PURP						
<sup>2</sup> HELD OR ACQUIRED BY			Control of the Contro				
	X FILER	SPOUSE	DEPENDENT CHILD				
<sup>3</sup> IF SOLD							
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE				
DESCRIPTION OF INSTRUMENT	DALLAS TEX INDPT SCH						
HELD OR ACQUIRED BY							
	X FILER	SPOUSE	DEPENDENT CHILD				
IF SOLD							
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE				
DESCRIPTION OF INSTRUMENT	GILROY CALIF UNI SCH						
HELD OR ACQUIRED BY							
	☑ FILER	SPOUSE	DEPENDENT CHILD				
IF SOLD							
☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE				
CODY AND ATTACH ADDITIONAL DAGES AS NECESSARY							

Texas Ethics Commission

BONDS, NOTES &	OTHER COMMER	CIAL PAPE	R PART 3
List all bonds, notes and other c	the category of the amount of		pouse, or a dependent child during the realized from the sale. For more
When reporting information abo providing the number under whi			about whom you are reporting by
1 DESCRIPTION OF INSTRUMENT	GOLDEN ST TOB SCRTZN	CRP	
<sup>2</sup> HELD OR ACQUIRED BY			
	☑ FILER	SPOUSE	DEPENDENT CHILD
<sup>3</sup> IF SOLD		"	
□ NET GAIN □ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	HANFORD CALIF JT UN HS	SD	
HELD OR ACQUIRED BY		SPOUSE	DEPENDENT CHILD
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000OR MORE
DESCRIPTION OF INSTRUMENT	LOS ANGELES CALIF DEP	Т	
HELD OR ACQUIRED BY	☑ FILER	SPOUSE	DEPENDENT CHILD
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	<b>55,000</b> - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
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BONDS, NOTES & OTHER COMMERCIAL PAPER PART 3						
☐ NOT APPLICABLE						
calendar year. If sold, indicate t	List all bonds, notes and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE					
When reporting information abore providing the number under which			bout whom you are reporting by			
<sup>1</sup> DESCRIPTION OF INSTRUMENT	MENLO PK CALIF CITY SC	Н				
<sup>2</sup> HELD OR ACQUIRED BY						
	☑ FILER	SPOUSE	DEPENDENT CHILD			
<sup>3</sup> IF SOLD						
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE			
DESCRIPTION OF INSTRUMENT	MIAMI-DADE CNTY FLA					
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD			
IF SOLD						
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE			
DESCRIPTION OF INSTRUMENT	ENERGY XXI					
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD			
IF SOLD						
☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE			
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

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MUTUAL FUNDS				PART 4		
☐ NOT APPLICABLE						
List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFSINSTRUCTION GUIDE  When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet.						
<sup>1</sup> MUTUAL FUND	PIMCO 25+ YEAR ZERC	NA)	ME			
<sup>2</sup> SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	☐ DEPENDENT CHIL	D		
<sup>3</sup> NUMBER OF SHARES OF MUTUAL FUND	☑ LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE		
MUTUAL FUND	PROSHARES ULTRASH	NA HORT	ME			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND	LESS THAN 100	X 100 TO 499  ☐ 10,000 OR MORE	□ 500 TO 999 :	☐ 1,000 TO 4,999		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE		
MUTUAL FUND	SPDR GOLD TRUST	NA	ME			
SHARES OF MUTUAL FUND HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHIL	D		
NUMBER OF SHARES OF MUTUAL FUND	X LESS THAN 100 ☐ 5,000 TO 9,999	☐ 100 TO 499 ☐ 10,000 OR MORE	☐ 500 TO 999	1,000 TO 4,999		
IF SOLD ☐ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	\$25,000OR MORE		
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY						

## PART 5 **INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS** ■ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS <sup>1</sup> SOURCE OF INCOME ALTRIA GROUP INC <sup>2</sup> RECEIVED BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ <sup>3</sup> AMOUNT X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME APPLE INC RECEIVED BY X FILER SPOUSE □ DEPENDENT CHILD \_\_\_\_\_ **AMOUNT \$500 - \$4,999** X \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME AT&T INC RECEIVED BY SPOUSE X FILER DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

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## PART 5 INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS ☐ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS 1 SOURCE OF INCOME BANK OF AMERICA <sup>2</sup> RECEIVED BY X FILER ☐ SPOUSE DEPENDENT CHILD \_\_\_\_\_ <sup>3</sup> AMOUNT X \$500 - \$4,999 **\$5,000 - \$9,999** \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME CALIFORNIA ST VAR PURP RECEIVED BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** X \$500 - \$4,999 **\$5,000 -** \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NAME AND ADDRESS SOURCE OF INCOME CATERPILLAR INC **RECEIVED BY** X FILER ☐ SPOUSE DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

INCOME FROM INT  □ NOT APPLICABLE	TEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
	d rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For	
When reporting information abo providing the number under whi		=	about whom you are reporting by	
<sup>1</sup> SOURCE OF INCOME	NAME AND ADDRESS  DALLAS TEX INDPT SCH DIST SCH PSF GTD OID			
<sup>2</sup> RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME	ENERGY XXI	NAME AND AD	DRESS	
RECEIVED BY		SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME	EXXON MOBIL CORP CO	NAME AND AD	DRESS	
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

INCOME FROM INT	TEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
	d rents during the calendar	year and indicate the	ccess of \$500 that was derived from category of the amount of the income. For	
When reporting information abo providing the number under whi			about whom you are reporting by	
<sup>1</sup> SOURCE OF INCOME	NAME AND ADDRESS GILROY CALIF UNI SCH DIST CTFS PARTN SCH AGC			
<sup>2</sup> RECEIVED BY		SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	<b>55,000</b> - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME	GOLDEN ST TOB SCRTZ	NAME AND AD ZN CRP CA RV SER A		
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
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SOURCE OF INCOME	HANFORD CALIF JT UN	NAME AND AD HSD COP OID AGM	DRESS	
RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	<b>X</b> \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY				

INCOME FROM INT	EREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
List each source of income you,	I rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For	
When reporting information abo providing the number under whi		-	about whom you are reporting by	
<sup>1</sup> SOURCE OF INCOME	NAME AND ADDRESS  LOS ANGELES CALIF DEPT ARPTS ARPT REV SER C OID			
<sup>2</sup> RECEIVED BY	☑ FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	፟፟⊠ \$500 - \$4,999	<b>\$5,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
SOURCE OF INCOME	MENLO PK CALIF CITY S	NAME AND AD SCH DIST ELECTION :		
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME	MIAMI-DADE CNTY FLA	NAME AND AD AVIATION REV B OID		
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	☒ \$500 - \$4,999	<b>55,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000OR MORE	
C(	DPY AND ATTACH ADDI	TIONAL PAGES AS	NECESSARY	

INCOME FROM IN'  ☐ NOT APPLICABLE	TEREST, DIVIDE	NDS, ROYAL	TIES & RENTS PART 5	
	nd rents during the calendar	year and indicate the	xcess of \$500 that was derived from category of the amount of the income. For	
When reporting information about the providing the number under wh			about whom you are reporting by	
<sup>1</sup> SOURCE OF INCOME	NAME AND ADDRESS TEXAS ST TPK AT CENT TEX			
<sup>2</sup> RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
<sup>3</sup> AMOUNT	☒ \$500 - \$4,999	<b>55,000 - \$9,999</b>	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE	
SOURCE OF INCOME	THE INVESTMENT COM	NAME AND AD		
RECEIVED BY		SPOUSE	DEPENDENT CHILD	
AMOUNT	X \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE	
SOURCE OF INCOME	WINDSTREAM CORP	NAME AND AD	DRESS	
RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD	
AMOUNT	<b>X</b> \$50 <b>0</b> - \$4,999	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999 \$25,000OR MORE	
	ODY AND ATTACK ADDS	TIONAL BACES AS	NECECOADY	

## P.O. Box 12070 Austin, Texas 78711-2070 1-800-325-8506 Texas Ethics Commission PART 5 **INCOME FROM INTEREST, DIVIDENDS, ROYALTIES & RENTS** ■ NOT APPLICABLE List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. NAME AND ADDRESS <sup>1</sup> SOURCE OF INCOME WAL-MART STORES INC <sup>2</sup> RECEIVED BY X FILER SPOUSE DEPENDENT CHILD \_\_\_\_\_ <sup>3</sup> AMOUNT **X** \$500 - \$4,999 NAME AND ADDRESS SOURCE OF INCOME WHOLE FOODS MKT INC COM RECEIVED BY SPOUSE X FILER □ DEPENDENT CHILD \_\_\_\_\_ **AMOUNT** \$10,000 - \$24,999 \$25,000--OR MORE X \$500 - \$4,999 \$5,000 - \$9,999 NAME AND ADDRESS SOURCE OF INCOME **DESMOND BRYANT** RECEIVED BY SPOUSE DEPENDENT CHILD \_\_\_\_\_ X FILER **AMOUNT** ☐ \$10,000 - \$24,999 X \$25,000--OR MORE **\$500 - \$4,999** \$5,000 - \$9,999

P.O. Box 12070

Identify each guarantor of a loan and a dependent child had a total finance agreement at any time during the cation, see FORM PFSINSTRUCTION. When reporting information about a providing the number under which the second sec	cial liability of more than \$ alendar year and indicate DN GUIDE a dependent child's activity	1,000 in the form of a the category of the a	a personal note or not amount of the liability.	tes or lease For more informa-
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	BANK OF AMERICA			
<sup>2</sup> LIABILITY OF	X FILER	SPOUSE	☐ DEPENDENT CHIL	D
<sup>3</sup> GUARANTOR				
<sup>4</sup> AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	U.S. BANK (VEHICLE)			
LIABILITY OF	X FILER	SPOUSE	☐ DEPENDENT CHIL	.D
GUARANTOR				
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	X \$25,000OR MORE

Texas Ethics Commission

INTERESTS IN REAL	L PROPERTY			PART <b>7A</b>		
☐ NOT APPLICABLE						
calendar year. If the interest was	Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFSINSTRUCTION GUIDE.					
When reporting information about providing the number under which			d about whom you are i	reporting by		
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	LD		
2 STREET ADDRESS  NOT AVAILABLE  CHECK IF FILER'S HOME ADDRESS		STREET ADDRESS, INCLUDI	ING CITY, COUNTY AND STATE			
3 DESCRIPTION  ☑ LOTS ☐ ACRES	NUMB 1 lot DALLAS COUNTY	ER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	NTED		
4 NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)						
5 IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	S10,000 - \$24,999	☐ \$25,000OR MORE		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHI	LD		
STREET ADDRESS  NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	1537 PLEASANT RUN DESOTO, TX 75115 DALLAS	STREET ADDRESS, INCLUDI	ING CITY, COUNTY AND STATE			
DESCRIPTION  LOTS  ACRES	лимв 5 acres DALLAS COUNTY	ER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	NTED		
NAMES OF PERSONS RETAINING AN INTEREST  NOT APPLICABLE (SEVERED MINERAL INTEREST)						
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>	\$10,000 - \$24,999	☐ \$25,000OR MORE		

1-800-325-8506

1-800-325-8506

. PROPERTY			PART 7A
sold, also indicate the	category of the amou	nt of the net gain or loss	realized from the sale.
		d about whom you are i	reporting by
∏ FILER	SPOUSE	DEPENDENT CHI	LD
	RK	OING CITY, COUNTY AND STATE	
1 lot DALLAS COUNTY	MBER OF LOTS OR ACRES AND	NAME OF COUNTY WHERE LOCA	TED
LESS THAN \$5,000	o 🔲 \$5,000 - \$9,999	<b>\$10,000 - \$24,999</b>	☐ \$25,000OR MORE
	real property held or a sold, also indicate the terest' and other specta dependent child's active child is listed on the child is listed	sold, also indicate the category of the amounterest' and other specific directions for comparate the child's activity, indicate the child the child is listed on the Cover Sheet.    Second   Se	real property held or acquired by you, your spouse, or a dependent sold, also indicate the category of the amount of the net gain or loss terest' and other specific directions for completing this section, see a dependent child's activity, indicate the child about whom you are not the child is listed on the Cover Sheet.

P.O. Box 12070

INTERESTS IN BUS	SINESS ENTITIES		PART 7B		
☐ NOT APPLICABLE					
Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of 'beneficial interest' and other specific directions for completing this section, see FORM PFSINSTRUCTION GUIDE					
When reporting information abou providing the number under whic			about whom you are reporting by		
<sup>1</sup> HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD		
<sup>2</sup> DESCRIPTION	REACH MEDIA, INC 13760 NOEL RD DALLAS, TX 75240-7336	NAME AND.  (check if Filer's	ADDRESS Home Address)		
<sup>3</sup> IF SOLD  ☑ NET GAIN ☐ NET LOSS	☐ LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999		
HELD OR ACQUIRED BY	∏ FILER	SPOUSE	DEPENDENT CHILD		
DESCRIPTION	ROYCE WEST & ASSOCIA 320 SOUTH R. L. THORNTO SUITE 300 DALLAS, TX 75203-1804				
IF SOLD ☐ NET GAIN ☐ NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE		
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD		
DESCRIPTION	SKYVIEW DEVELOPMENT 320 SOUTH R. L. THORNTO DALLAS, TX 75203-1804				
IF SOLD  NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000OR MORE		
	NOV AND ATTACH ADDITE	ONAL DACES AS	NECESSADY		

Texas Ethics Commission P.C	). Box 12 <b>070</b>	Austin, Texas 78	8711-2070	(512)463-5800	1-8 <b>00</b> -3	25-85 <b>0</b>
INTERESTS IN BU	SINESS	<b>ENTITIES</b>			PART	7B
☐ NOT APPLICABLE						
Describe all beneficial interests calendar year. If the interest w For an explanation of 'beneficial INSTRUCTION GUIDE	as sold, also	indicate the categ	gory of the amount o	of the net gain or loss realized	from the s	
When reporting information about providing the number under whether the providing the number under whether the providing the pro				about whom you are reporting	ı by	
<sup>1</sup> HELD OR ACQUIRED BY	⊠ F	FILER	SPOUSE	DEPENDENT CHILD		
<sup>2</sup> DESCRIPTION			NAME AND (check if Filer's	ADDRESS Home Address)		
	WEST & A	ASSOCIATES, LLF	>			
	SUITE 300	TH R. L. THORNTO 0 TX 75203-1804	ON FREEWAY			
<sup>3</sup> IF SOLD						
☐ NET GAIN ☐ NET LOSS		ESS THAN \$5,000.	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25	5,000OR M	ORE

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 78711-2070	(512)463-5800	1-800-325-8506

ASSETS OF B	USINESS ASSOCIAT	<b>TIONS</b>	PART 11A
☐ NOT APPLICABLE	Ξ		
corporation, professiona dent child held, acquired of the assets. For more When reporting informat	al association, joint venture, or other d, or sold 50 percent or more of the information, see FORM PFSINS	er business associati e outstanding owners TRUCTION GUIDE. vity, indicate the chil	mited liability partnership, professional con in which you, your spouse, or a depension and indicate the category of the amount d about whom you are reporting by
<sup>1</sup> BUSINESS ASSOCIATION	ROYCE WEST & ASSOCIATES, I 320 SOUTH R. L. THORNTON FF SUITE 300 DALLAS, TX 75203-1804	P.C.	(Check if Filer's Home Address)
<sup>2</sup> BUSINESS TYPE	PROFESSIONAL CORPORATION	٧	
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY		SPOUSE	DEPENDENT CHILD
<sup>4</sup> ASSETS	NO ASSETS		CATEGORY    X LESS THAN \$5,000

Texas Ethics Commission	P.O. Box 12070	Austin, Texas 7	8711-2070	(512)463-58	00 1-800-325-8506
ASSETS OF BU	JSINESS AS	SOCIATIO	ONS		PART <b>11A</b>
☐ NOT APPLICABLE					
corporation, professional dent child held, acquired of the assets. For more	association, joint ve , or sold 50 percent of information, see FOI on about a depende	enture, or other b or more of the ou RM PFSINSTR nt child's activity	usiness association tstanding owners UCTION GUIDE. indicate the child over Sheet.	nited liability partnership, pon in which you, your spou hip and indicate the categ I about whom you are rep	use, or a depen- ory of the amount orting by
<sup>1</sup> BUSINESS ASSOCIATION	SKYVIEW DEVELO	PMENT LLC		(Check if Filer's Home Address)	
	320 SOUTH R. L. TE DALLAS, TX 75203		WAY		
<sup>2</sup> BUSINESS TYPE	REAL ESTATE DEV	'ELOPMENT: LIN	MITED LIABILITY C	COMPANY	
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FI	LER	SPOUSE	DEPENDENT CHILD	
<sup>4</sup> ASSETS		DESCRIPTION	M	CATE	EGORY
7,002,10	BUILDING			LESS THAN \$5,000	<b>\$5,000 - \$9,999</b>
				\$10,000 - \$24,999	X  \$25,000-OR MORE

ASSETS OF B	P.O. Box 12070	Austin, Texas 7		(512)463-5800	1-800-325-8506 PART 11A
□ NOT APPLICABLE		OOOIATIN	5110		
***************************************		artnershin limit	ed nartnershin I	limited liability partnership, profe	essional
corporation, professiona dent child held, acquired of the assets. For more	al association, joint ver d, or sold 50 percent o information, see FOR tion about a dependen	nture, or other b r more of the ou M PFSINSTR at child's activity	usiness associa utstanding owner UCTION GUIDE , indicate the ch	tion in which you, your spouse, rship and indicate the category	or a depen- of the amount
<sup>1</sup> BUSINESS ASSOCIATION	WEST & ASSOCIATE		ND ADDRESS	Check if Filer's Home Address)	
	320 SOUTH R. L. TH SUITE 300 DALLAS, TX 75203-		WAY		
<sup>2</sup> BUSINESS TYPE	LIMITED LIABILITY F	PARTNERSHIP			
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FIL	.ER	SPOUSE	DEPENDENT CHILD	<del></del>
<sup>4</sup> ASSETS	PROPERTY/EQUIPM	DESCRIPTION MENT		CATEGOR	RY
				1 = =	\$5,000 - \$9,999
					\$25,000OR MORE
	OFFICE FURNITURE			l I	
					\$5,000 - \$9,999
				\$10,000 - \$24,999	\$25,000OR MORE
	COMPUTER EQUIPM	MENT		I   	\$5,000 - \$9,999
				X \$10,000 - \$24,999 ☐	\$25,000OR MORE
	l		• • • • • • • • •		

exas Ethics Commission	P.O. Box 12070	Austin, Texas 7	8711-2070	(512)463-5800	1-80	0-325-850
LIABILITIES O	F BUSINESS	ASSOCI	ATIONS		PART	11B
☐ NOT APPLICABLE						
corporation, professiona dent child held, acquired of the liabilities. For mor	I association, joint ver l, or sold 50 percent or re information, see FC ion about a dependen	nture, or other b r more of the o DRM PFSINS at child's activity	ousiness associ utstanding own FRUCTION GU , indicate the c	hild about whom you are reportir	or a depe of the am	en-
1 BUSINESS ASSOCIATION	WEST & ASSOCIATE		ND ADDRESS	(Check if Filer's Home Address)		
	320 SOUTH R. L. TH SUITE 300 DALLAS, TX 75203-		EWAY			
<sup>2</sup> BUSINESS TYPE	LIMITED LIABILITY F	PARTNERSHIP				
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FIL	ER	SPOUSE	DEPENDENT CHILD		
<sup>4</sup> LIABILITIES	CLIENT TRUST ACC	DESCRIPTION		!		

i e				
LIABILITIES O	F BUSINESS	ASSOCIATIONS		PART 11B
☐ NOT APPLICABLE				
corporation, professional dent child held, acquired, of the liabilities. For more When reporting information	association, joint ver , or sold 50 percent o e information, see FC on about a depender	, partnership, limited partnership, linture, or other business association more of the outstanding ownersh DRM PFSINSTRUCTION GUIDE. It child's activity, indicate the child listed on the Cover Sheet.	n in which you, your spouse, ip and indicate the category about whom you are reportin	or a depen- of the amount
1 BUSINESS ASSOCIATION	SKYVIEW DEVELOP		(Check if Filer's Home Address)	
	DALLAS, TX 75203-			
<sup>2</sup> BUSINESS TYPE	LIMITED LIABILITY (	COMPANY		
<sup>3</sup> HELD, ACQUIRED, OR SOLD BY	X FIL	ER SPOUSE	DEPENDENT CHILD	
<sup>4</sup> LIABILITIES		DESCRIPTION	CATEGOR	RY
Lintoletties	DEBT			\$5,000 - \$9,999
			☐ \$10,000 - \$24,999 X	\$25,000OR MORE
	TENANT DEPOSITS			
1			1 —	\$5,000 - \$9,999
			X \$10,000 - \$24,999	\$25,000OR MORE
	COPY AND AT	TACH ADDITIONAL PAGES AS	NECESSARY	

BOARDS AND EXE	ECUTIVE POSITION	ONS	PART 12	
□ NOT APPLICABLE				
your spouse, or a dependent ch ships, professional corporations	nild hold in corporations, firm s, professional associations,	ns, partnerships, lim , joint ventures, othe	a member and all executive positions you, ited partnerships, limited liability partner-tousiness associations, or proprietorships, on, see FORM PFSINSTRUCTION GUIDE.	
When reporting information about providing the number under wh			d about whom you are reporting by	
<sup>1</sup> ORGANIZATION	BLACK AMERICA WEB RE	ELIEF FUND	· ·	
<sup>2</sup> POSTITION HELD	SECRETARY			
<sup>3</sup> POSITION HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	CIRCLE TEN COUNCIL OF	F BOY SCOUTS OF	AMERICA	
POSTITION HELD	VICE PRESIDENT SCOUT	REACH		
POSITION HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	EDUCATION IS FREEDOM	Л		
POSTITION HELD	BOARD MEMBER			
POSITION HELD BY	⊠ FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	REACH MEDIA, INC			
POSTITION HELD	SECRETARY			Ī
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	ROYCE WEST & ASSOCIA	ATES, P. C.		
POSTITION HELD	PRESIDENT			
POSITION HELD BY	☐ FILER	SPOUSE	DEPENDENT CHILD	
С	OPY AND ATTACH ADD	ITIONAL PAGES A	AS NECESSARY	

#### DADT 12

BOARDS AND EXE	ECUTIVE POSITION	ONS	PART 12
□ NOT APPLICABLE			
your spouse, or a dependent of ships, professional corporation	nild hold in corporations, firm s, professional associations,	ns, <mark>par</mark> tnerships, lim , joint ventures, othe	a member and all executive positions you, ited partnerships, limited liability partner-er business associations, or proprietorships, on, see FORM PFSINSTRUCTION GUIDE.
When reporting information about providing the number under wh	out a dependent child's activich the child is listed on the	rity, indicate the chil Cover Sheet.	d about whom you are reporting by
<sup>1</sup> ORGANIZATION	SKYVIEW DEVELOPMEN	T LLC	
<sup>2</sup> POSTITION HELD	PRESIDENT		
<sup>3</sup> POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
ORGANIZATION	TOM JOYNER FOUNDATI	ON, INC.	
POSTITION HELD	SECRETARY	, and a set of section of	
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
ORGANIZATION	WEST & ASSOCIATES, LI	LP	
POSTITION HELD	MANAGING PARTNER		
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
	PORY AND ATTACH ADD	ITIONAL BACCO	A C NECECCA DV

	D. Box 12070 Austin, Texas 78711-2070	(512)463-5800	1-800-325-8
EXPENSES ACCE	PTED UNDER HONORA	RIUM EXCEPTION	PART 13
☐ NOT APPLICABLE			
of the Penal Code, in connect audience or participating in a transportation, meals, or lodg on a campaign finance report	led you with necessary transportation, monon with a conference or similar event in versionar, that were more than perfunctorying. You are not required to include items or expenditures required to be reported by information, see FORM PFSINSTRUC	which you rendered services, such as a control of the expension of the expension have already reported as political by a lobbylist under the lobbylaw (chal	addressing an enditures on all contributions
DDO//IDED	NA	ME AND ADDRESS	
PROVIDER	TURQUOISE COUNCIL OF AMERICAN	IS AND EURASIANS	
	2700 POST OAK BLVD., SUITE 17500 GALLERIA TOWER I HOUSTON, TX 77056		
AMOUNT	\$8,000.00		

### Austin, Texas 78711-2070 **PART 15** FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER ■ NOT APPLICABLE Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFS--INSTRUCTION GUIDE. PERSON OR ENTITY ANDREWS KURTH FOR WHOM SERVICES WERE PROVIDED <sup>2</sup> FEE CATEGORY ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY BANK OF AMERICAN CORPORATION FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY BARCLAYS CAPITAL INC FOR WHOM SERVICES WERE PROVIDED FEE CATEGORY ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY DALLAS INDEPENDENT SCHOOL DISTRICT FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY **FARMERS INSURANCE GROUP** FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY FIRST SOUTHWEST COMPANY FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE

PART 15

## FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER

☐ NOT APPLICABLE Report any fee you received for providing services to or on behalf of a person required to be registered as a lobbyist under chapter 305 of the Government Code, or for providing services to or on behalf of a person you actually know directly compensates or reimburses a person required to be registered as a lobbyist. Report the name of each person or entity for which the services were provided, and indicate the category of the amount of each fee. For more information, see FORM PFS--INSTRUCTION GUIDE. <sup>1</sup> PERSON OR ENTITY GOLDMAN, SACHS & CO. FOR WHOM SERVICES WERE PROVIDED <sup>2</sup> FEE CATEGORY ☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000--OR MORE PERSON OR ENTITY LIBERTY MUTUAL INSURANCE COMPANY FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE PERSON OR ENTITY LINEBARGER GOGGAN BLAIR & SAMPSON FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** PERSON OR ENTITY PROGRESSIVE COUNTY MUTUAL INSURANCE CO. FOR WHOM SERVICES WERE PROVIDED FEE CATEGORY X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE PERSON OR ENTITY STATE FARM LLOYDS FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000--OR MORE PERSON OR ENTITY TEXAS CLASSROOM TEACHERS ASSOCIATION FOR WHOM SERVICES WERE PROVIDED **FEE CATEGORY** COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

Texas Ethics Commission P.O. Box 12070 Austin, Texas 78711-2070 (512)463-5800 1-800-325-8506

FEES RECEIVED FOR STO A LOBBYIST OR LO	SERVICES RENDERED PART 15 DBBYIST'S EMPLOYER
☐ NOT APPLICABLE	
chapter 305 of the Government Code, sates or reimburses a person required	ing services to or on behalf of a person required to be registered as a lobbyist under or for providing services to or on behalf of a person you actually know directly compento be registered as a lobbyist. Report the name of each person or entity for which the ne category of the amount of each fee. For more information, see FORM PFS
<sup>1</sup> PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	TEXAS FARM BUREAU
<sup>2</sup> FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	THE TRAVELERS INDEMNITY COMPANY
FEE CATEGORY	X LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☐ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	WELLS FARGO SECURITIES LLC
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999 ☐ \$10,000 - \$24,999 ☒ \$25,000OR MORE
PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	JP MORGAN CHASE & CO
FEE CATEGORY	☐ LESS THAN \$5,000 ☐ \$5,000 - \$9,999     \$10,000 - \$24,999   ☐ \$25,000OR MORE
CODY A	ND ATTACH ADDITIONAL PAGES AS NECESSARY

# PERSONAL FINANCIAL STATEMENT PARTS MARKED 'NOT APPLICABLE' BY FILER

Rather than printing a page for each Part the filer checked 'Not Applicable,' this page summarizes whether the 'Not Applicable' checkbox was checked for each Part. If the checkbox is checked next to a Part below, then no pages for that Part should be present in the report. If a checkbox is not checked, then pages for that Part should be present in the report.

□ N/A	Part 1A - Sources of Occupational Income
☑ N/A	Part 1B - Retainers
□ N/A	Part 2 - Stock
□ N/A	Part 3 - Bonds, Notes & Other Commercial Paper
□ N/A	Part 4 - Mutual Funds
□ N/A	Part 5 - Income from Interest, Dividends, Royalties & Rents
□ N/A	Part 6 - Personal Notes and Lease Agreements
□ N/A	Part 7A - Interests in Real Property
□ N/A	Part 7B - Interests in Business Entities
図 N/A	Part 8 - Gifts
☑ N/A	Part 9 - Trust Income
☑ N/A	Part 10A - Blind Trusts
☑ N/A	Part 10B - Trustee Statement
□ N/A	Part 11A - Assets of Business Associations
□ N/A	Part 11B - Liabilities of Business Associations
□ N/A	Part 12 - Boards and Executive Positions
□ N/A	Part 13 - Expenses Accepted Under Honorarium Exception
☑ N/A	Part 14 - Interest in Business in Common with Lobbyist
□ N/A	Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
☑ N/A	Part 16 - Representation by Legislator Before State Agency
☑ N/A	Part 17 - Benefits Derived from Functions Honoring Public Servant
☑ N/A	Part 18 - Legislative Continuances

#### PERSONAL FINANCIAL STATEMENT AFFIDAVIT

P.O. Box 12070

The law requires the personal financial statement to be verfied. The verfication page must have the signature of the individual required to file the personal financial statement, as well as the signature and stamp or seal of office of a notary public or other person authorized by law to administer oaths and affirmations. Without proper verification, the statement is not considered filed.

> I swear, or affirm, under penalty of perjury, that this financial statement covers calendar year ending December 31, 2012, and is true and correct and includes all information required to be reported by me under chapter 572 of the Government Code.



AFFIX NOTARY STAMP / SEAL ABOVE

Sworn to and subscribed before me by to certify which, witness my hand and seal of office.